Predictors of discharge outcomes following percutaneous mechanical

thrombectomy in patients with acute ischemic stroke

-Comparisons between the home discharge group and hospital transfer group-

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Running title: Predictors of percutaneous mechanical thrombectomy outcomes

ABSTRACT

Purpose: To clarify predictors of outcomes that can indicate the appropriateness of discharging patients to their own homes following acute ischemic stroke and

percutaneous mechanical thrombectomy (PMT).

Methods: This study included 99 patients with acute ischemic stroke who were hospitalized in the Department of Neurology at Hospital A and underwent PMT between April 2014 and December 2018. Of these, 32 and 67 patients were discharged to their own homes or to other hospitals, respectively. The following items were retrospectively collected from medical records within 3 days of PMT: age; sex; familial cohabitation and employment status; serum albumin level; consciousness

disorders; National Institutes of Health Stroke Scale (NIHSS), at the most severe time

and at 24 hours postoperatively; Brunnstrom recovery stage (BRS) in upper limbs, fingers, and lower limbs; oral intake; independence in activities of daily living such as eating, grooming, toileting, and walking; and higher brain dysfunction.

Findings: We identified significant differences between the groups in terms of consciousness disorders, both NIHSS scores, BRS, oral intake, independence in eating and grooming, and higher brain dysfunction (p < 0.05). Multiple logistic regression analysis revealed the following significant predictors of outcomes: NIHSS score at 24 hours postoperatively (odds ratio [OR]: 1.35; 95% confidence interval [CI]: 0.152–0.448) and oral intake (OR: 10.46, 95% CI: -2.252 to -0.095).

Conclusions: NIHSS score at 24 hours postoperatively and oral intake are useful

predictors of patient outcomes following PMT for acute ischemic stroke. These can be

assessed even when bed rest levels are low.

Key words: acute ischemic stroke, NIHSS score, outcomes, thrombectomy

Introduction

Recent advancements in the treatment of stroke, including the expansion of systems to

rapidly transport patients to medical facilities following the onset of stroke symptoms

and community medical collaboration through specific pathways for patients in the

acute, recovery, and maintenance phases, have considerably shortened the duration of

acute care hospital stays (1). According to the Japan Stroke Data Bank (2), ischemic strokes comprise 75.6% of all strokes. Because Japan's population is aging, the number of patients with ischemic stroke is also on the rise.

Among the various types of ischemic stroke, cardiogenic ischemic stroke carries the worst prognosis (3). However, patients who are quickly diagnosed can undergo intravenous thrombolysis (IVT) as an acute treatment to restore blood flow (4).

Unfortunately, IVT has been shown to be limited in effectiveness by poor recanalization rates, short indication time, and indication in only certain patients (5).

In addition, IVT is ineffective in restoring blood flow if a major artery is obstructed by a thrombus (6).

Therefore, percutaneous mechanical thrombectomy (PMT) is often indicated in patients whose symptoms do not improve with IVT or in those who are ineligible for the procedure. Reports have shown that PMT can improve outcomes in patients with acute ischemic stroke (7, 8).

Previous studies have reported the following predictors of outcomes following PMT in patients with acute ischemic stroke: age (9), infarct volume (10), reperfusion rate (11), drop in blood pressure before recanalization (12), duration between stroke onset and recanalization (13), and National Institute of Health Stroke Scale (NIHSS) score (14). It would be ideal to use only pre- and postoperative findings to determine whether patients can be discharged to their own homes; however, making this decision on the basis of such findings alone is difficult in practice.

Currently, recommendations of rehabilitation staff are considered when deciding whether a patient can be discharged to his or her own home or a to recovery-phase hospital or another type of hospital (15). Although predictors based on rehabilitation parameters are also important in making that decision, no reports have investigated the same in patients with acute ischemic stroke who underwent PMT.

Therefore, the objective of this study is to determine these predictors, including medical and non-medical parameters, that can be assessed within a 3-day postoperative window and that can aid in deciding whether patients with acute ischemic stroke who have undergone PMT can be discharged to their own homes. By identifying these factors, patients and their families may receive consistent treatment

from the onset of stroke to home discharge. Furthermore, we believe that discharging patients early to their own homes can lead to an improved quality of life.

Subjects and methods

1. Patients

We screened 111 patients with acute ischemic stroke who were hospitalized and had PMT in the Department of Neurology at Hospital A between April 2014 and December 2018. In addition, we excluded those who had not undergone rehabilitation intervention within 3 days of PMT and those with obvious data deficiencies in their

medical records, such as multiple missing values, which we found during data

collection.

As a result, 99 patients were included in this study. Of them, 32 patients were discharged to their own homes (i.e., home discharge group), while the remaining 67 were discharged to a recovery-phase hospital or to another type of hospital (i.e., hospital transfer group).

2. Data Collection

The data analyzed in this study were assessed within 3 days of PMT and were retrospectively collected from patient medical records. Patient personal attributes included age, sex, and familial cohabitation and employment status. Blood data was surveyed using serum albumin (Alb) level. Stroke severity was surveyed based on consciousness disorders and NIHSS, at the most severe time and at 24 hours postoperatively. The severity of paralysis was surveyed using Brunnstrom recovery

stage (BRS) of patient upper limbs, fingers, and lower limbs. Activities of daily living (ADLs) were surveyed in terms of oral intake and independence in eating, grooming, toileting, and walking. In addition, higher brain function was surveyed for any dysfunction.

We categorized data for certain variables according to the following standardized scales before collection. For consciousness disorders, the Glasgow Coma Scale (GCS) was used; if even one deduction was assigned, a consciousness disorder was deemed present, and if no deductions were assigned, consciousness disorders were deemed absent. In terms of BRS of the upper limbs, fingers, and lower limbs, Stage \geq V was deemed mild paralysis, whereas Stage \leq IV was deemed severe paralysis.

Furthermore, we set GCS and BRS scores as binary variables because both scores

were not ratio scale nor interval scale. For oral intake, if the patient could orally ingest all types of foods, oral intake was deemed present; if this was absent, even if nasogastric tube feeding was performed, oral intake was deemed absent. From nursing records, the FIMTM (16) criteria was used to classify as independence those cases for which no caregiver was needed. In addition, the cases for which a caregiver was needed was classified as dependence (monitoring, preparation, and assistance).

3. Data Analysis

The two groups were compared using Wilcoxon's signed-rank test and Fisher's exact test. In addition, to identify outcome predictors,, the correlation between independent variables was examined, and stepwise multiple logistic regression analysis was performed. In this analysis, home discharge and hospital transfer were set as

dependent variables, whereas all other variables were set as independent variables. We examined the degree of influence of the independent on the dependent variables. JMP Pro v15.1 for Macintosh was used to perform the statistical analyses. The significance level was 0.05.

4. Ethical Considerations

This study was approved by the Research Ethics Committee of the Showa University

Koto Toyosu Hospital Clinical Research Support Office (approval no. 19T7024).

Information gathered for the purposes of this study was shared only between the

study's authors. Notices were posted on bulletin boards throughout the hospital and on

our website explaining how to opt out of consent for use of patient medical

information. Patients who opted out were explained the research objectives and

surveyed items and were provided an opportunity to revoke permission to use their medical information.

Results

Occlusions in a majority of the patients (71.7%) were in the middle cerebral artery; other sites included the internal carotid artery (19.2%), basilar artery (7.1%), and anterior cerebral artery (2.0%). In this study, at least 1 patient with each type of occlusion site was discharged to his or her own home.

Wilcoxson's signed-rank test was used to compare the age, Alb levels, and NIHSS scores. NIHSS, at the most severe time (p = 0.002) and at 24 hours postoperatively (p < 0.001) were significantly different between the groups. Fisher's exact test was used to compare sex, familial cohabitation and employment status, consciousness disorders,

BRS, oral intake, independence in ADLs, and higher brain dysfunction. We observed significant differences in consciousness disorders (p = 0.004), BRS (p < 0.001), oral intake (p < 0.001), independence in eating and grooming (p < 0.001), and higher brain dysfunction (p < 0.001) between the groups (Table 1).

Multiple logistic regression analysis revealed significant correlations between discharge destination and the following factors: NIHSS score at 24 hours postoperatively (odds ratio, OR: 1.35, 95% CI: 0.152–0.448) and oral intake (OR: 10.46, 95%CI: -2.252 to -0.095) (Table 2).

Discussion

1. Patients

Mean duration of hospitalization was 28.4 ± 19.9 days in the home discharge group and 43.7 ± 25.8 days in the hospital transfer group. Valerio et al. (17) reported that PMT in patients with mild stroke was safe, has led to rapid improvement in NIHSS score, and accounted for differences in length of stay between the home discharge and the transfer groups. On the other hand, William et al. (18) reported that patients who underwent PMT had a shorter period of hospitalization and reduced medical expenses. Therefore, compared to the transfer group, the average NIHSS score at the time of onset of severe illness and 24 hours postoperatively was lower in the home discharge group than in the transfer group, which could be why many patients in the home discharge group improved early. In addition, if rapid improvement was observed, the length of stay in the ICU was short (19), and this led to independence in ADLs from

the early postoperative period. This is also believed to have led to a reduction in the length of hospital stay.

Arboix et al. (20) reported that patients with cardiogenic ischemic stroke were most likely to develop sudden onset of stroke and present with cortical symptoms, including conjugate deviation of the eyes, aphasia, and unilateral spatial neglect. Furthermore, they highlighted that because occlusions of main arteries result in large areas of infarct, the defining characteristic of such a stroke is a poor outcome. In the participants in our study, the cases of cardiogenic ischemic strokes were present in 26 of 32 patients (81.2%) in the home discharge group and in 59 of 67 patients (88.1%) in the transfer group. This finding indicates that PMT also gave good results in some patients with cardiogenic ischemic stroke.

2. Outcome Predictors

Significant predictors of patient outcomes were NIHSS score at 24 hours postoperatively and oral intake. According to Mirja et al. (21), NIHSS score at 24 hours postoperatively correlates with functional outcome at 90 days postoperatively. Some have reported that outcomes were good when NIHSS score at 24 hours after surgery was 5 points or less (22, 23, 24). We set NIHSS score at 24 hours after surgery for 5 points as a cutoff in this study. A total of 27 of 32 patients (84.3%) were discharged to their own homes, and 7 of 67 (10.4%) were transferred to a recoveryphase hospital or to another type of hospital. Therefore, similar to the reports of previous studies, NIHSS score was lower in the home discharge group.

Furthermore, Silvia et al. (25) reported that NIHSS score at 24 hours

postoperatively is a useful index of early neurological improvement. Thabele et al.

(26) reported that NIHSS scores of 6-9 at 24 hours are quite likely to be beneficial to

patients. Mildly affected patients with comparatively low NIHSS scores at 24 hours

tend to stabilize and improve faster; therefore, it is important to initiate rehabilitation

efforts soon after the surgery in order to improve ADLs.

In terms of oral intake, Okabayashi et al. (27) reported that periods of no food

intake are significantly shorter and NIHSS scores at hospitalization are significantly

lower in patients in the home discharge group compared with those in the hospital

transfer group. We observed similar results in our study: Patients capable of oral

intake were more common in the home discharge group, and their NIHSS scores at 24 hours were lower compared with those in the hospital transfer group.

According to previous reports, recovery of oral intake has been reported to be correlated with ADLs (28), and eating behaviors can predict discharge in the early period after stroke onset (29). Similarly, in our study, independence in eating and grooming was more common in patients in the home discharge group, thus, highlighting that recovery of oral intake is important to the improvement of ADLs soon after stroke onset. Therefore, care in the acute phase should be aimed to help patients achieve safe oral intake via evaluation of their swallowing ability.

We believe that collaboration between multiple departments to provide comprehensive interventions in accordance with appropriate evaluations can result in

more frequent and earlier home discharges. The two predictors identified in this study—the NIHSS score at 24 hours postoperatively and oral intake—can be measured even when bed rest levels are low; therefore, both are useful evaluative indices in predicting outcomes.

3. Limitations

One limitation of this study is its retrospective nature; due to missing data for certain patients, not all patients treated within the study period were analyzed, which may have introduced bias into our results. In this study, oral intake was associated with impaired consciousness. Therefore, the existence of multicollinearity was considered in the analysis. However, details of the sub-items of NIHSS were not examined, and it will be necessary to examine these in future studies.

Additional prospective studies that collect data on the variables outlined in this study are warranted. Particularly, NIHSS scores at 24 hours collected prospectively could be used to calculate the cutoff values in predicting outcomes and advancing efforts toward recommendations for discharge.

Therefore, to improve NIHSS scores 24 hours after surgery, it is important to evaluate and implement rehabilitation as soon as possible after surgery and to perform early intervention for ADL training. Furthermore, to enable oral intake, it is important to consider an intensive approach by an inter-professional team working toward the acquisition of swallowing function.

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Conflict of Interest: The authors have no conflicts of interest to declare.

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Table 1 Comparisons between the home discharge and hostpital transfer groups of patients

	Survey items		Total ($N = 99$)	Home group ($n = 32$)	Transfer group (n = 67)	p Value	
	Internal carotid artery occlusion		19 (19.2%)	4 (12.5%)	15 (22.4%)		
Occlusion site	Basilar artery occlusion		7 (7.1%)	4 (12.5%)	3 (4.5%)		
	Middle cerebral artery occlusion		71 (71.7%)	23 (71.8%)	48 (71.6%)		
	Anterior cerebral artery occlusion		2 (2.0%)	1 (3.1%)	1 (1.5%)		
Personal attributes	Duration of hospital stay (d)		38.8 ± 25.0	28. 4 ± 19. 9	43.7 ± 25.8	p < 0.001 %1	
	Age (y)		74.4 ± 12.3	71.7 ± 13.8	75.7 ± 11.3	$p = 0.221 \times 1$	
	Sex	Male	59	19	40	p = 0.172 %2	
		Female	40	13	27		
	¥1.1. 1	Present	84	28	56	p = 0.768 ×	
	Living together	Absent	15	4	11		
	Jobs	Present	21	8	13		
		Absent	78	24	54	p = 0.601 %	
Blood data	Alb (g/dl)		3.4 ± 0.3	3.5 ± 0.3	3.4 ± 0.3	$p = 0.117 \times 1$	
Stroke severity	NIHSS (At the most severe time)		17.8 ± 7.7	14.2 ± 7.0	19.5 ± 7.5	$p = 0.002 \times 1$	
	NIHSS (At 24 hours postoperatively)		9.8 ± 7.9	3.3 ± 5.1	13.0 ± 7.1	p < 0.001 %1	
	Consciousness disorder	Present	61	13	48	n = 0.004 W	
		Absent	38	19	19	p = 0.004 %	
Severity of paralysis	BRS (Upper limbs)	Mild	51	30	21	p < 0.001 %	
		Severe	48	2	46	1	
	BRS (Fingers)	Mild	56	30	26	p < 0.001 ×	
		Severe	43	2	41		
	BRS (Lower limbs)	Mild	54	30	24		
		Severe	45	2	43	p < 0.001 %	
ADLs		Present	61	31	30		
	Oral intake	Absent	38	1	37	p < 0.001 %	
	Eating	Independent	24	22	7		
		Dependent	75	10	60	p < 0.001 %	
	Grooming	Independent	24	19	5		
		Dependent	75	13	62	p < 0.001 %	
			55979	eset.	x0007		
	Toileting	Independent	9	5	4	$p = 0.143 \times 2$	
		Dependent	90	27	63	p = 0.143 %	
	Walking	Independent	8	4	4	0.000	
		Dependent	91	28	63	$p = 0.268 \times 2$	
Higher brain function	Higher brain dysfunction	Present	61	18	65	n < 0.001 W	
righer brain function	riigner brain dystunction	Absent	38	14	2	p < 0.001 %2	

^{※1} Wilcoxon signed-rank test

^{※2} Fisher's exact test

p < 0.05

Table 2 Results of multiple logistic regression

	Coefficient (SD)	p-Value	Odds Ratio	95% Confidence Interval	
				Lower	Upper
NIHSS score (At 24 hours postoperatively)	0.300 (0.075)	p<0.001	1.35	0.152	0.448
Oral intake	-1.173 (0.550)	p=0.033	10.46	-2.252	-0.095